

Adviser Fees Client authority form (Sole Accounts)

What you should do with this form

There's no need to send it to us. In fact, it should be kept by your adviser as a record of the agreement between you (although we may ask for it in the future for regulatory purposes). You should also make sure that these agreed fees are then set-up online.

This is a record of both the fee agreed and your authority for your adviser to sell investments on your behalf.

Once completed, your adviser can instruct FundsNetwork™ to set up a fee agreement by completing the relevant transaction online. Please specify below the fee(s) you wish to be paid to your adviser.

Initial and Ongoing Fee instruction

If you would like the agreed fee(s) to apply to **all accounts** with FundsNetwork, please complete the following section. The percentage or monetary amount* completed below will be deducted from each account.

Initial fee
(lump sums or transfers) • % **or** £ •

Initial fee
(regular contributions) • % **or** £ •

Initial fee
(crystallisation) • % **or** £ •

ANNUAL ONGOING FEE

(including VAT where applicable)

Ongoing fee total • % **or** £ •

of which your adviser will receive • % **or** £ •

and your DFM will receive • % **or** £ •

Nominated investment for Annual Ongoing Fee

Please tick this box to confirm you have chosen to nominate an investment within the account

Pay Annual Ongoing Fee from Cash Management Account

(where available)

* If you choose to setup an ongoing fee as a fixed monetary amount, this may vary on a monthly basis due to the way these are calculated, however over a year this will equate to what has been agreed with your client.

If you would like to agree rates for **specific accounts**, please complete the following section.

Account Number

Initial fee
(lump sums or transfers) • % **or** £ •

Initial fee
(regular contributions) • % **or** £ •

Initial fee
(crystallisation) • % **or** £ •

ANNUAL ONGOING FEE

(including VAT where applicable)

Ongoing fee total • % **or** £ •

of which your adviser will receive • % **or** £ •

and your DFM will receive • % **or** £ •

Nominated investment for Annual Ongoing Fee

Please tick this box to confirm you have chosen to nominate an investment within the account

Pay Annual Ongoing Fee from Cash Management Account

(where available)

Account Number

Initial fee
(lump sums or transfers) • % **or** £ •

Initial fee
(regular contributions) • % **or** £ •

Initial fee
(crystallisation) • % **or** £ •

Initial and Ongoing Fee instruction (continued)

ANNUAL ONGOING FEE

(including VAT where applicable)

Ongoing fee total • % or £ •

of which your adviser will receive • % or £ •

and your DFM will receive • % or £ •

- Nominated investment for Annual Ongoing Fee**
Please tick this box to confirm you have chosen to nominate an investment within the account
- Pay Annual Ongoing Fee from Cash Management Account**
(where available)

Account Number

Initial fee
(lump sums or transfers) • % or £ •

Initial fee
(regular contributions) • % or £ •

Initial fee
(crystallisation) • % or £ •

ANNUAL ONGOING FEE

(including VAT where applicable)

Ongoing fee total • % or £ •

of which your adviser will receive • % or £ •

and your DFM will receive • % or £ •

- Nominated investment for Annual Ongoing Fee**
Please tick this box to confirm you have chosen to nominate an investment within the account
- Pay Annual Ongoing Fee from Cash Management Account**
(where available)

Specified Fee instruction

Please enter the account number from where the Specified Fee is to be taken. Then enter the amount applicable and from which investment(s) within the account the fee should be deducted.

Account Number

Amount (including VAT where applicable)

£ •

£ •

£ •

£ •

£ •

£ •

Investment name

Frequency

Please tick a box to indicate the frequency of the Specified Fee. Each Specified Fee must be set up online by your adviser.

- One off Monthly Half yearly Quarterly Yearly

Declaration and signature

- By signing below, I agree to pay my adviser the Adviser Fee(s) as stated on this form and in accordance with the FundsNetwork Client Terms.
- For the purpose of paying these fees, I authorise and instruct FundsNetwork to deduct cash from my accounts (where applicable) and in the event that there is insufficient available cash, sell units/shares from the investment nominated by me or redeem from typically my largest investment holding.
- I understand the FundsNetwork will receive the money from such sale, deduction or redemption as agent for my adviser, and that such money will become irrevocably due and payable to my adviser on receipt of FundsNetwork, to satisfy the Adviser Fees.
- FundsNetwork will hold this money in a FundsNetwork corporate account as agent of my adviser until payment is made to my adviser.
- I understand my liability to my adviser in relation to the fee will be discharged on receipt of the fee money by FundsNetwork as my adviser's agent.
- Redemptions from my investments for Ongoing Fees will normally be made no later than the 10th of each month.
- I acknowledge that where VAT is included the agreed rate will change if the underlying VAT rate changes.
- I confirm that I have received a personal illustration that show the effect of the fees agreed on my investment.
- I understand that my regular contributions will not change should the Adviser Fee agreement be terminated.

Signature

	
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Print name

Date

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 (DDMMYYYY)